

Docket No. **BC-0112-P04****FAX RECEIVED**

DEC 18 2001

GROUP 3700

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

In re Application of: STEELE, Chad; et al.)
Serial No.: 09/940955)
Filed: 08/27/2001)
For: EXTRACORPOREAL BLOOD PROCESSING)
METHODS AND APPARATUS)

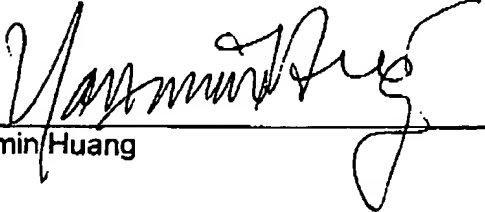
Examiner: Not yet assigned
Group Art Unit: 3762

CERTIFICATE OF FACSIMILE

I hereby certify that the following items are being facsimile transmitted to the United States Patent Office

Fax No: 703-872-9302, on this date of 12/17/2001

1. Transmittal Form (1 page)
2. Fee Transmittal for FY2002 with Deposit Account No. (1 page)
3. Information Disclosure Statement (2 pages)
4. PTO Form 892 (1 page)
5. PTO Form 1449 (5 pages)


Yanmin Huang

Duplicates

PTO/SB/17 (XX-XX)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/940955
Filing Date	08/27/2001
First Named Inventor	STEELE, Chad; et al.
Examiner Name	Not yet assigned
Group Art Unit	3762
Attorney Docket No.	BC-0112-P04

TOTAL AMOUNT OF PAYMENT**\$0.00****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	03-2316
Deposit Account Name	Gambro, Inc.

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐
- Applicant claims small entity status. See 37 CFR § 1.27

- 2.
- ☐
- Payment Enclosed:

- ☐
- Check
- ☐
- Credit card
- ☐
- Money Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	- 30** = 0	X	0.00
Multiple Dependent	- 3** = 0	X	0.00

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 260	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)**\$0.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non - English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,860	228 930	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR § 1.17(q)	
126 180	126 180	Submission of Information Disclosure Statement	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)**SUBMITTED BY**

Name (Print/Type)	Peter B. Seidl	Registration No. (Attorney/Agent)	37,932	Telephone	303-205-2560
Signature	<i>Peter B. Seidl</i>	Date	December 17, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

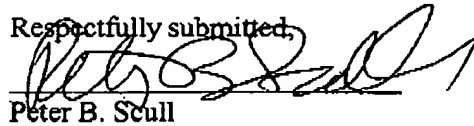
Atty Ref. No: BC-0112-P04

documents alone or in combination, are considered to be material to patentability as defined under 37 C.F.R. § 1.56(b).

Again, it is believed no fee is required in connection with the filing of this statement. If this is incorrect, please charge deposit account 03-2316.

December 17, 2001
Date

Respectfully submitted,



Peter B. Scull

Atty. Reg. No: 37,932

Gambro, Inc.

10810 W. Collins Ave.

Lakewood, Colorado 80215

(303) 205-2560